

**Table A
Special Education Program
Continuous Improvement Monitoring System (CIMS)**

**Annual Verification Monitoring Visit
Findings of Non-Compliance and Required Corrective Actions**

STATE: Yap
2013

Annual Verification Monitoring Visit Date: December 4-6,

Determination Level: To be determined by NDOE

FSM

Special Education Monitoring Findings Summary and Status Update

STATE: Yap Verification Monitoring Visit - Date: December 4-6, 2013

Special Education Monitoring - Findings of Non-Compliance and Required Actions			
Requirement	Finding	Required Corrective Actions	Status
<p>Y13.01--Fiscal Management/Soliciting Bids: <i>The FSM Department of Education (NDOE) is the grant recipient for IDEA Part B funds. NDOE administers the expenditure of funds consistent with the National FSM Fiscal Management Regulations (FMR). NDOE must ensure compliance with U.S. regulations regarding the use of Part B funds (OMB Circular A-87, Circular 102 and OMB Circular 133)</i></p>	<p>December 6, 2013- The Monitoring Team reviewed 10 Other Consumable Expenditure (OCE) samples, and found that Yap Special Education Program did not follow fiscal management procedures for bidding. Review of these 10 sample OCE records showed that the program is only collecting competitive price quotations from 1 vendor. There was no documentation to show that price quotations were also being collected from the other 2 vendors. This indicated to the Monitoring Team that Yap Special Education Program did not follow fiscal management procedure in soliciting 3 written bids from vendors for procurement of program consumable goods.</p>	<p>December 6, 2013- YDOE needs to ensure procedures for collecting price quotations are followed accordingly. Accordingly to the Fiscal Management Regulation (FMR), fiscal officer needs to ensure soliciting of 3 written bids from vendors and quality of office materials, supplies and equipments to be procured, potential vendors submit written signed and stamped price quotations for specified office program supplies or equipments, selection of lowest bid with justification on selected vendor, completes a purchase requisition form and attached the 3 written price quotations with the recommended vendor. For correction of this finding of non compliance, Yap Special Education Program needs to submit 3-5 samples or evidence if there is any to show that Yap Special Education Program has implemented procedures for price competitive quotations accordingly in their next quarterly progress reports.</p>	<p>December 6, 2013 – New Finding of Non Compliance</p>